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PTO/SB/21 (09-04)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/693,050	
	Filing Date	October 24, 2003	
	First Named Inventor	Olin Palmer	
	Art Unit	3731	
	Examiner Name	Kevin Thao Truong	
Total Number of Pages in This Submission		Attorney Docket Number	ACS-65420 (2955C)

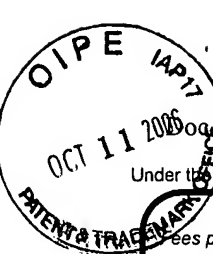
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	CUSTOMER NO. 24201	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	FULWIDER PATTON LLP		
Signature			
Printed name	THOMAS H. MAJCHER		
Date	October 9, 2006	Reg. No.	31,119

CERTIFICATE OF TRANSMISSION/MAILING			
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/17 (01-06)

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL
for FY 2006**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** **\$180.00****Complete if Known**

Application Number	10/693,050
Filing Date	October 24, 2003
First Named Inventor	Olin Palmer
Examiner Name	Kevin Thao Truong
Art Unit	3731
Attorney Docket No.	ACS-65420 (2955C)

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Deposit Account Number: 06-2425 Deposit Account Name: FULWIDER PATTON LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or any underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

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FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid(\$)
	Fee (\$)	<u>Small Entity</u> Fee (\$)	Fee (\$)	<u>Small Entity</u> Fee (\$)	Fee (\$)	<u>Small Entity</u> Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	<u>Small Entity</u> Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 20 or HP =	x	\$50.00	= \$0.00

HP = highest number of total claims paid for, if greater than 20.

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 3 or HP =	x	\$200.00	= \$0.00

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 100 =	0	0	(round up to a whole) x \$250.00	= \$0.00

4. OTHER FEE(S)

Non-English specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Supp IDS**\$180.00****SUBMITTED BY**

Signature		Registration No. (Attorney/Agent)	31,119	Telephone	310 824 5555
Name (Print/Type)	THOMAS H. MAJCHER			Date	October 9, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Thomas H. Majcher, Reg. No. 31,119

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/944,953 Confirmation No.: 1542
Applicant : Olin Palmer
Filed : October 24, 2003
Art Unit : 3731
Examiner : Kevin Thao Truong
Title : SELF FURLING UMBRELLA FRAME FOR CAROTID FILTER

Docket No.: : ACSES-65420 (2955C) October 9, 2006
Customer No. : 24201 Los Angeles, California

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to the duty of disclosure and 37 CFR § 1.97(c)(2), enclosed is Form PTO 1449, listing references which may be material to the patentability of the invention. The Examiner is respectfully requested to consider and cite the references. It is additionally requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO 1449 with initials and/or other appropriate marks.

01 FC:1806

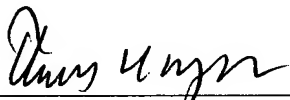
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This statement is not a representation that all of the information cited is necessarily effective as prior art against the present application or that a prior art search was performed.

This Information Disclosure Statement is being submitted pursuant to 37 CFR 1.97(c)(2) and the requisite fee of \$180.00 is enclosed. If any additional fees are due, the Commissioner is authorized to charge any these fee(s) to our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LLP

By: 
Thomas H. Majcher
Registration No. 31,119

THM:lm

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	10/668,830
Filing Date	October 24, 2003
First Named Inventor	Olin Palmer
Art Unit	3731
Examiner Name	Kevin Thao Truong
Attorney Docket Number	ACSES-65420 (2955C)

Sheet _____ of _____

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1.	RE38,972		02-07-2006	Purdy	
	2.	3,952,747		04-27-1976	Kimmell, Jr.	
	3.	4,452,908		01-17-1984	Simon	
	4.	4,494,531		01-22-1985	Gianturco	
	5.	4,612,931		09-23-1986	Dormia	
	6.	4,619,246		10-28-1986	Molgaard-Nielsen et al.	
	7.	4,643,184		02-17-1987	Mobin-Uddin	
	8.	4,650,466		03-17-1987	Luther	
	9.	4,662,885		05-05-1987	DiPisa, Jr.	
	10.	4,688,553		08-25-1987	Metals	
	11.	4,706,671		11-17-1987	Weinrib	
	12.	4,723,549		02-09-1988	Wholey et al.	
	13.	4,727,873		03-01-1998	Mobin-Uddin	
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	15.	4,790,812		12-13-1988	Hawkins, Jr. et al.	
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	17.	4,794,928		01-03-1989	Kletschka	
	18.	4,832,055		05-23-1989	Palestrant	
	19.	4,873,978		10-17-1989	Ginsburg	
	20.	4,921,478		05-01-1990	Solano et al.	
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	26.	5,053,008		10-01-1991	Bajaj	
	27.	5,064,428		11-12-1991	Cope et al.	
	28.	5,071,407		12-10-1991	Termin et al.	
	29.	5,092,839		03-03-1992	Kipperman	
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	33.	5,108,419		04-28-1992	Reger et al.	
	34.	5,152,777		10-06-1992	Goldberg et al.	
	35.	5,158,548		10-27-1992	Lau	
	36.	5,160,342		11-03-1992	Reger et al.	
	37.	5,192,286		03-09-1993	Phan et al.	
	38.	5,324,304		06-28-1994	Rasmussen	
	39.	5,329,942		07-19-1994	Gunther et al.	
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	41.	5,350,398		09-27-1994	Pavcnik et al.	
	42.	5,370,657		12-06-1994	Irie	
	43.	5,375,612		12-27-1994	Cottenceau et al	
	44.	5,383,887		01-24-1995	Nadal	

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		Number	Kind Code ² (if known)			
	45.	5,421,832		06-06-1995	Lefebvre	
	46.	5,490,859		02-13-1996	Mische et al.	
	47.	5,496,277		03-05-1996	Termin et al.	
	48.	5,496,330		03-05-1996	Bates et al.	
	49.	5,501,694		03-26-1996	Ressemann et al.	
	50.	5,549,626		08-27-1996	Miller et al.	
	51.	5,601,595		02-11-1997	Smith	
	52.	5,626,605		05-06-1997	Irie et al.	
	53.	5,634,942		06 03 1997	Chevillon et al.	
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	57.	5,669,933		09-23-1997	Simon et al.	
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	64.	5,755,790		05-26-1998	Chevillon et al.	
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	78.	5,827,324		10 27 1998	Cassell et al.	
	79.	5,833,650		11-10-1998	Imran	
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	81.	5,846,251		12-08-1998	Hart	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet		of		Application Number	10/668,830
				Filing Date	October 24, 2003
				First Named Inventor	Olin Palmer
				Art Unit	3731
				Examiner Name	Kevin Thao Truong
				Attorney Docket Number	ACSES-65420 (2955C)

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	91.	5,941,869		08-24-1999	Patterson et al.	
	92.	5,941,896		08-24-1999	Kerr	
	93.	5,944,728		08-31-1999	Bates	
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	119.	6,142,987		11-07-2000	Tsugita	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number Filing Date First Named Inventor Art Unit Examiner Name				10/668,830 October 24, 2003 Olin Palmer 3731 Kevin Thao Truong		
Sheet _____ of _____				Attorney Docket Number ACSES-65420 (2955C)		
U.S. PATENT DOCUMENTS						
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		Number	Kind Code ² (if known)			
	137.	6,206,868		03-27-2001	Parodi	
	138.	6,209,710		09-18-2001	Cryer et al.	
	139.	6,214,026		04-10-2001	Lepak et al.	
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	153.	6,264,663		07-24-2001	Cano	
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	155.	6,267,776		07-31-2001	O'Connell	
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	157.	6,270,477		08-07-2001	Bagaosian	
	158.	6,270,513		08-07-2001	Tsugita et al.	
	159.	6,273,901		08-14-2001	Whitcher et al.	
	160.	6,277,138		08-21-2001	Levinson et al.	
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	165.	6,295,989		10-02-2001	Connors, III	
	166.	6,306,163		10-23-2001	Fitz	
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	169.	6,325,815		12-04-2001	Kusleika et al.	
	170.	6,336,934		01-08-2002	Gilson et al.	
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Art Unit				3731	
Examiner Name				Kevin Thao Truong	
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Application Number		10/668,830					
Filing Date		October 24, 2003					
First Named Inventor		Olin Palmer					
Group Art Unit		3731					
Examiner Name		Kevin Thao Truong					
Attorney Docket Number		ACSES-65420 (2955C)					

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